The National 911 Program

GRANTS TRACKING SYSTEM (GTS) INFORMATION PACKAGE

Developed to assist participants throughout the grant award period

Washington, DC
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911.gov

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1. GRANT INFORMATION

This Grants Tracking System (GTS) training information packet was developed to provide participants information to assist them in using the system throughout the grant award period. This packet will also provide participants the opportunity to make notes during presentations.

This document will only discuss GTS processes and instructions.

The 911 Grant Program Notice of Funding Opportunity (NOFO) is the official grant document for this funding opportunity. Nothing in this document is intended to conflict with or supersede the NOFO. Any perceived conflict must be resolved by reference to the NOFO.

Please refer to the Final Rule and NOFO posted on the National 911 Program web site 911.gov and the opportunity in grants.gov for all 911 grant requirements and instructions.

2. GRANTS TRACKING SYSTEM

GTS has two separate transactions that must be completed to receive a reimbursement of funds for the 911 Grant. The first is to create a spending plan. This is basically entering the budget into the systems that will be used for the project. The second is to submit a voucher for reimbursement. These transactions are summarized in the sections below. Each transaction must be completed before moving on to the next. In other words, a grantee cannot begin to voucher a project without first completing a spending plan.

Helpful Hint
GTS is optimized for use with the Google Chrome web browser.

This document is formatted in the order that most users will follow when using the system. The buttons are listed inside parenthesis such as “Button Name.”

Graphics of the pages or parts of pages are included for reference; however, the format and exact look of the pages may be different due to changes or browsers.

3. GRANTS TRACKING SYSTEM ADMINISTRATIVE FUNCTIONS

3.1. Initial Log-in

Once the awardee is notified of the grant award, basic information will be loaded into GTS and the system will initiate an e-mail to the awardee with a username and password for the NHTSA 911 Grants Tracking System.

Helpful Hint
Watch your spam filter; the e-mail will come from: *gts.e911@dot.gov*

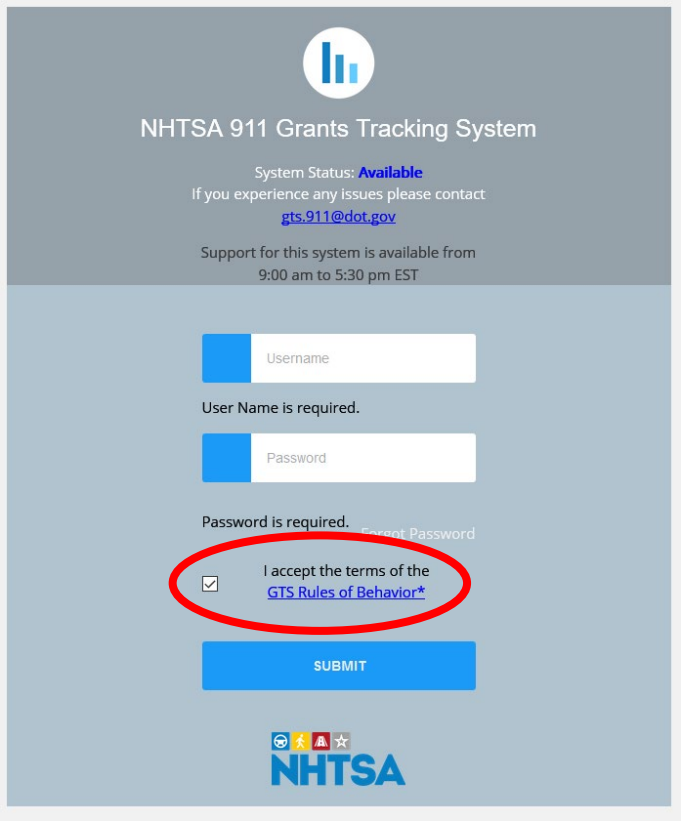
Go to the GTS web site using the website link provided in the e-mail or by using:

https://gts.nhtsa.gov/e911

Enter the username and password provided in the e-mail.

Click on the underlined portion of “I accept the terms of the GTS Rules of Behavior.”

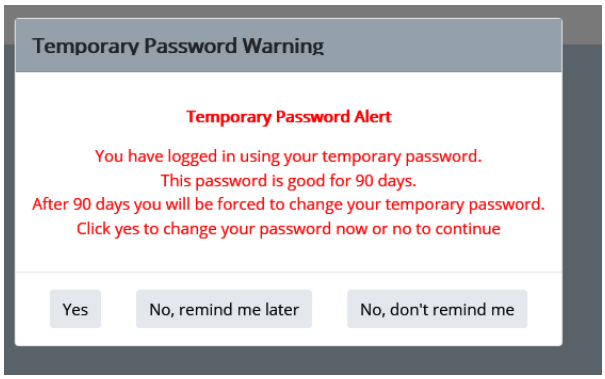
Click “Submit.”



3.2. Initial Log in

The user must change the initial password that was emailed from the system after award. This initial password is only valid for 90 days. You will be prompted on your first log in to change the password. You can choose to:

- Change it now
- Remind me later
- Don't remind me



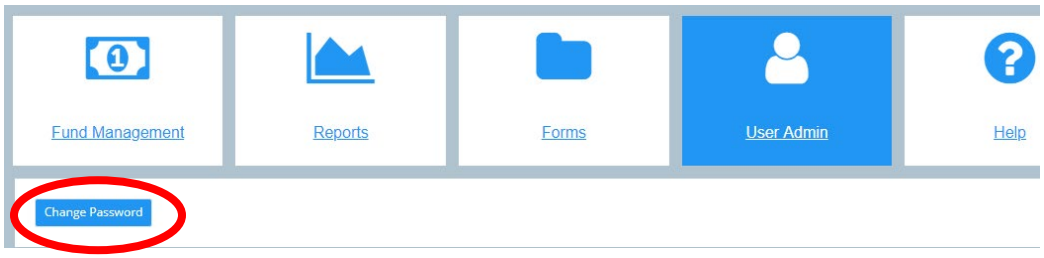
If you select "yes" change it now, the system will take you to the change password page.

3.3. Change Password

To access the change the password page:

Click on "User Admin."

Click "Change Password."



On the Request New 911 Password (change password) page:

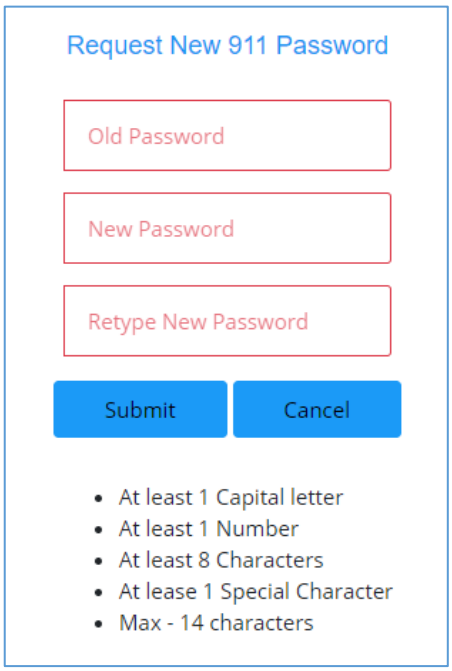
Enter the old password.

Enter a new password. The new password must be between 8 and 14 characters and contain at a minimum:

- One (1) lowercase letter
- One (1) capital letter
- One (1) number
- One (1) special character (e.g., !, $, %, *)

Re-enter new password.

Click “Submit.”

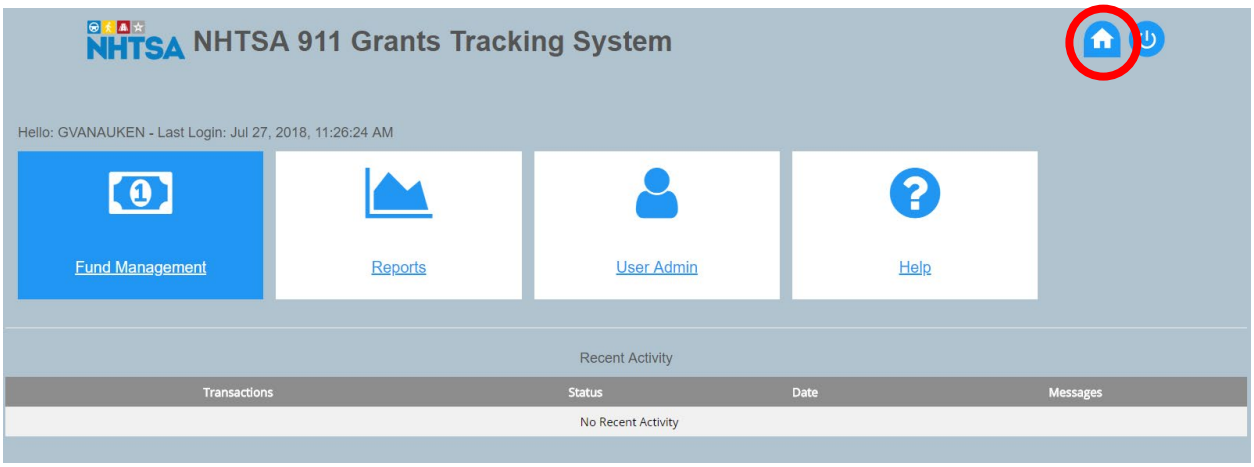


Each password is valid for 180 days. You may not reuse passwords for 180 days.

3.4. Dashboard

Once logged into GTS, the user will see the dashboard. The dashboard has four major links:

- **Fund Management** – This is where the funding plans and vouchering are performed.
- **Reports** – This will allow access to various available reports from the system.
- **User Admin** – This is where the user can change passwords.
- **Help** – This provides access to the GTS User Guide.



To return to the dashboard at any time, the user can click on the “Home” icon in the top right corner.

3.5. Log Out

Use the “Log Out” button on the top right corner of the screen to log out of the system.

Helpful Hint
When finished, always log out and close your browser.

4. GRANTS TRACKING SYSTEM SPENDING PLAN FUNCTIONS

The award will be displayed in the Fund Management dashboard as “Federal Grant Award Amount” in the right side of the screen. The spending plan should reflect the approved Project Budget that is based on the actual award amount (not the project budget used for the grant application) and must include the state portion of funds used for the project.

The purpose of the spending plan transaction on GTS is to assign money from the awarded funds into the seven eligible cost categories by project.

- **AD – Administrative:** Administrative expenses incurred as a direct result of participation in the grant program, limited to up to 10 percent of grant funds. These include administrative personnel expenses incurred as a direct result of participation in/management of the grant program. Administrative costs may include personnel costs related to general grant management and oversight, program planning, policy development, and financial and contract assistance, among other tasks.
- **HW – Hardware:** Funds that will be used to purchase hardware used for Next Generation 911 (NG911) systems.
- **SW – Software:** Funds that will be used to purchase software used for NG911 systems.
- **TR – Training:** Funds that will be used for training directly related to 911 services for public safety personnel, including call takers, first responders, and other individuals and organizations that are part of the emergency response chain in 911 services.
- **OP – Operation:**
    - Funds that can be used only to cover the cost of operating the NG911 system until such time as the current legacy system is shut down.
    - Personnel expenses directly associated with carrying out programmatic activities of the 911 Grant, including implementation and operation of NG911 services. Operation costs may include personnel/fringe costs for direct implementation of

project activities by recipient personnel, such as the personnel costs related to the development if GIS.

Note that costs related to the operation of the NG911 system, including personnel costs, are only allowable to operate a dual system until such time as the legacy 911 system is shut down.

- **CS – Consulting Services:** Funds to be expended for contracted consulting services used to design, procure, and implement NG911 systems or services. Contracts for consulting services may also include personnel costs for the contracted consultant.
- **HT – Hosted NG911 Services:** Funds that will be used to contract with vendors that own the hardware and software and provide NG911-enabling functions as a service.

Not all categories will be applicable for every project. For example, some projects may only involve the purchase of hardware and software; as such, only those specific category lines will be completed.

To aid in managing the projects and the grant, each cost category may be used more than once for various projects the grantee has.

The spending plan will serve as the grantee's project budget for purposes of submitting vouchers. Spending plans will look different depending on whether a grantee has one large project or several small projects, such as sub-grants. A spending plan for one project will show the project funds split into the eligible cost categories that apply to that project. Awardees with multiple projects need to number or name each project in the comment field for identification purposes.

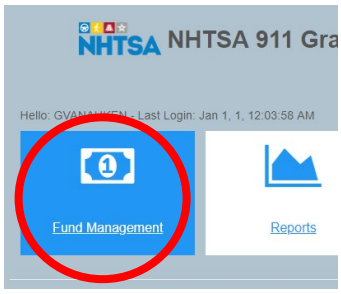
Helpful Hint

Using the optional budget template will help speed the process of entering the spending plan into GTS.

4.1. Enter Initial Spending Plan

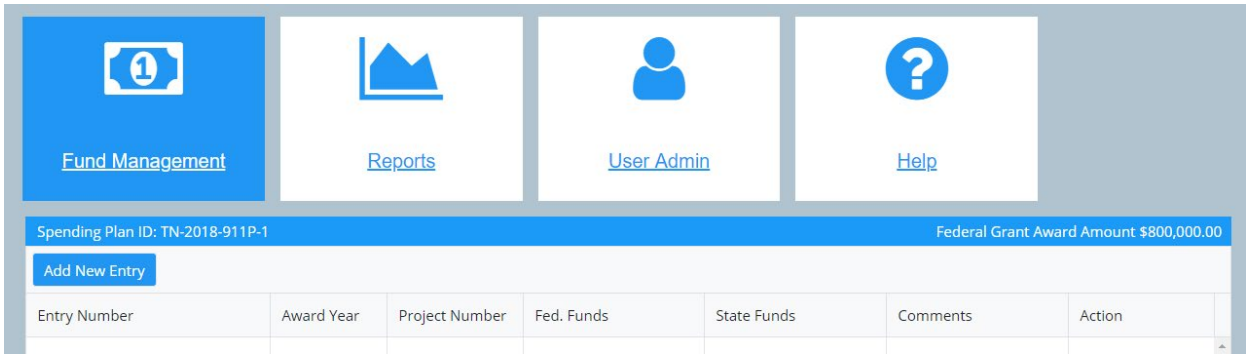
A spending plan is used to define the way the grant and matching funds will be spent and distributed among the projects and funding categories.

Click the “Fund Management” link on the dashboard.



This will bring up the Fund Management page.

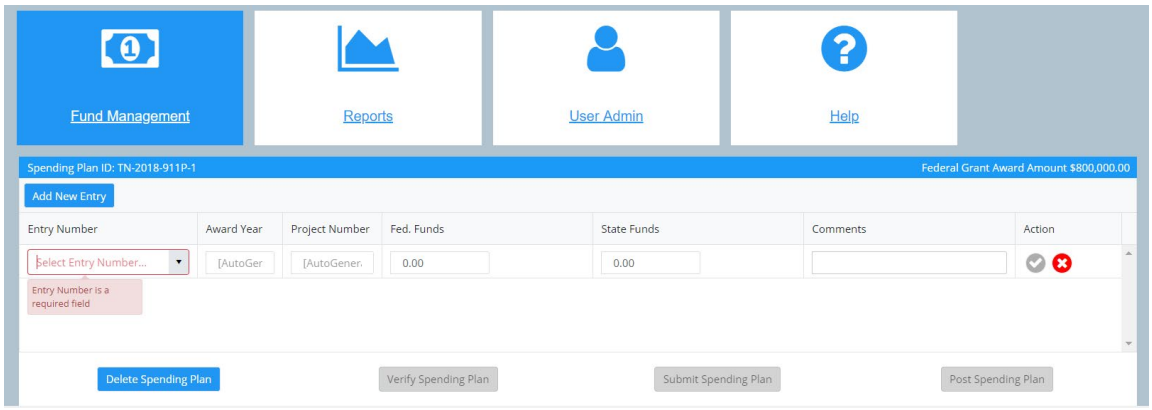
Click “Create Spend Account” to begin the plan.



The ribbon under the Fund Management icon shows the spending plan identification (ID) number and the grant award amount. The spending plan ID, on the left, is a unique identifier that begins with the abbreviation for the respective state or territory. This number is assigned by the system. The Federal grant award amount is on the right. This is the 60 percent of federal funds for the total project that will need to be matched by at least 40 percent state funds for the total project. The system will allow greater than a 40 percent match.

A new spending plan will be built line-by-line. Each line will begin with one of the eligible cost categories listed above.

To begin, click “Add New Entry.” A line will be presented to enter data, as shown on the following page.



The user will need to enter data in four fields; two fields are system-generated. One field is used to accept or delete the line.

- Entry Number – This is a pull-down list of the eligible cost categories listed above. The cost categories may be used for multiple lines as needed to plan the funds.
- Award Year – This is system-generated based on the year of the grant award.
- Project Number – This is a system-generated number for vouchering to in the future.
- Fed Funds – Enter the amount of federal funds that will be obligated to this line.
- State Funds – Enter the amount of state matching funds that will be obligated to this line.
- Comments – Enter a description of what this line is used for. This is important when vouchering expenses later.
- Action – Select one of the two options, either a “check mark” or the “X.”
    - Click the “check mark” to accept the information entered. A “pencil” will appear in place of the check mark after accepting the line. The pencil icon will be used to edit line information.
    - Click the “X” to delete the line.

Helpful Hint

Include the project name or number or sub-grantee name in the comments field to make vouchering easier.

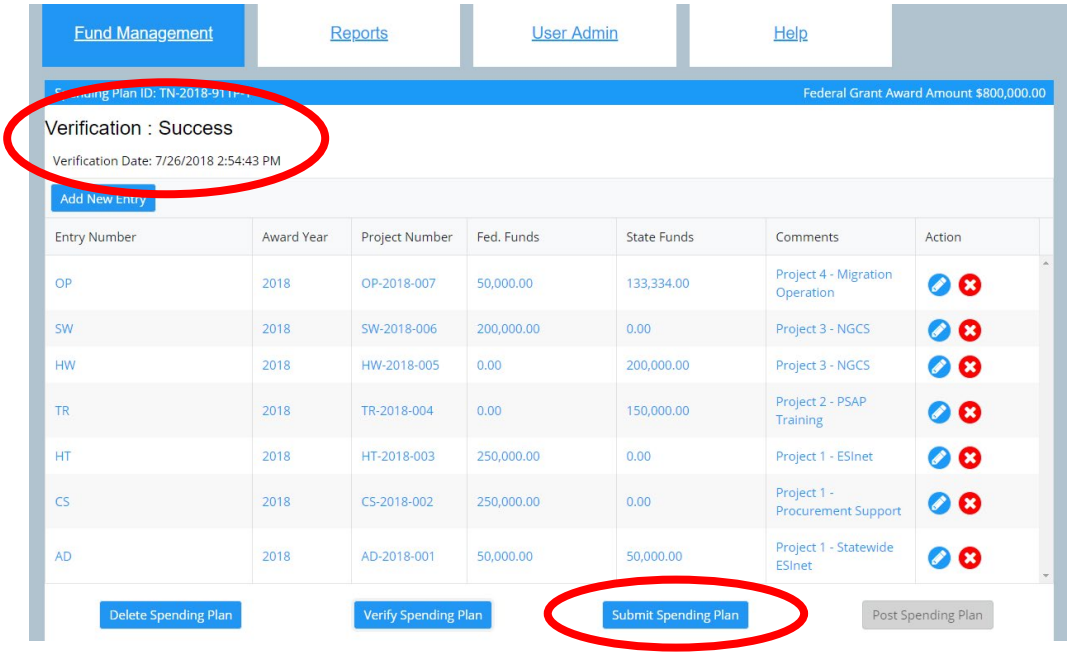
Each line does not need to have both federal and state funds as long as the total plan is balanced at a 60/40 split.

The “Delete Spending Plan” button will delete all lines entered. But use caution. It is better to delete one row at a time unless there are major issues.

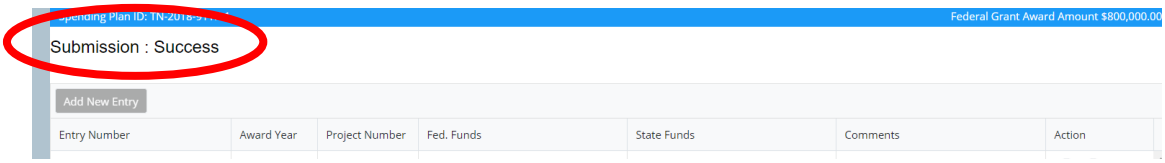
After all lines are entered, all grant funds are allocated, and the proper state matching funds are entered, click the “Verify Spending Plan” button. This will verify if the formulas are valid and all entries meet the allocations.

- Any critical error must be fixed before the spending plan is submitted.
- The spending plan can be submitted with notices, but any notice must be resolved before the last voucher is submitted at project closeout.
- It is advised to repair all critical errors and notices before proceeding to submission.

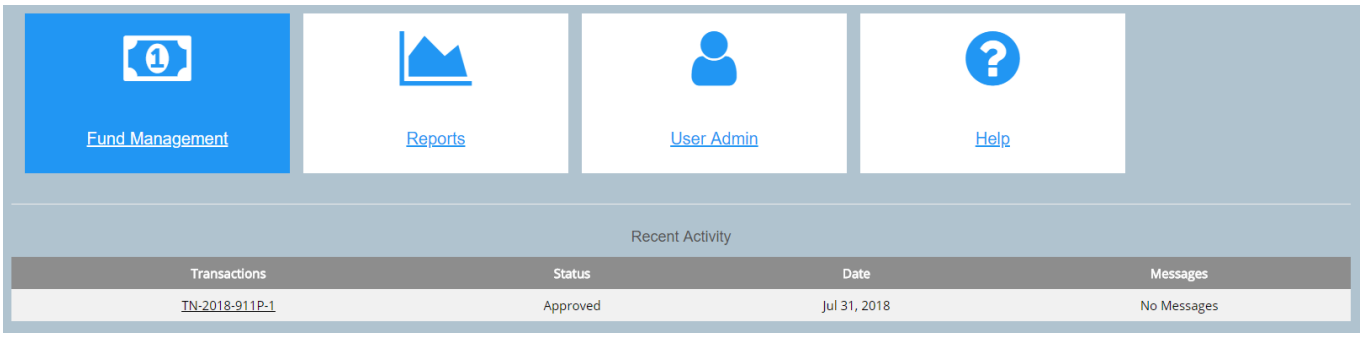
Once the verification is successful, as shown below, submit the spending plan for approval by clicking the “Submit Spending Plan” button.



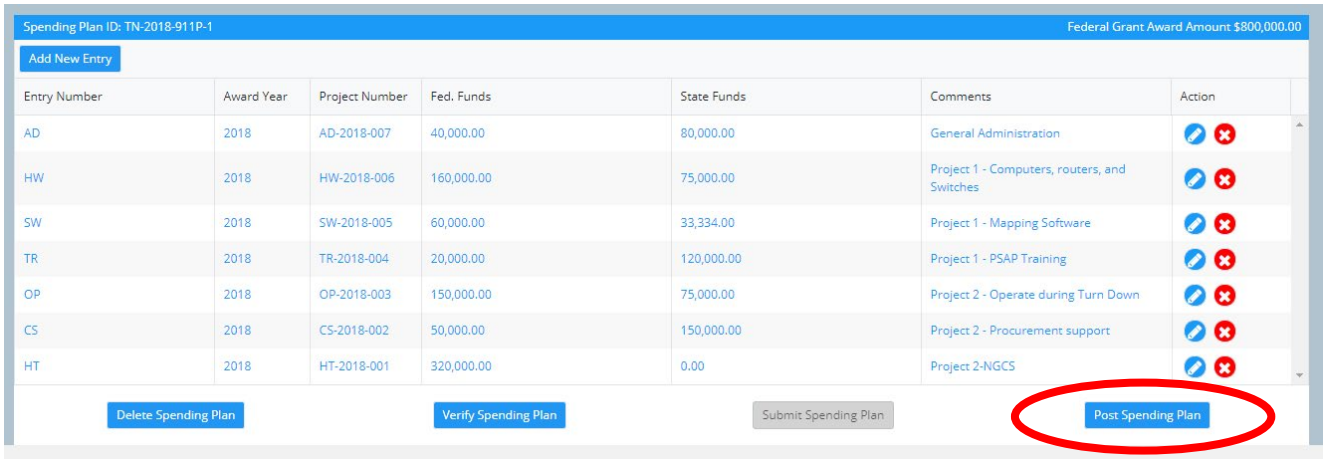
GTS will return a confirmation that the submission was successful.



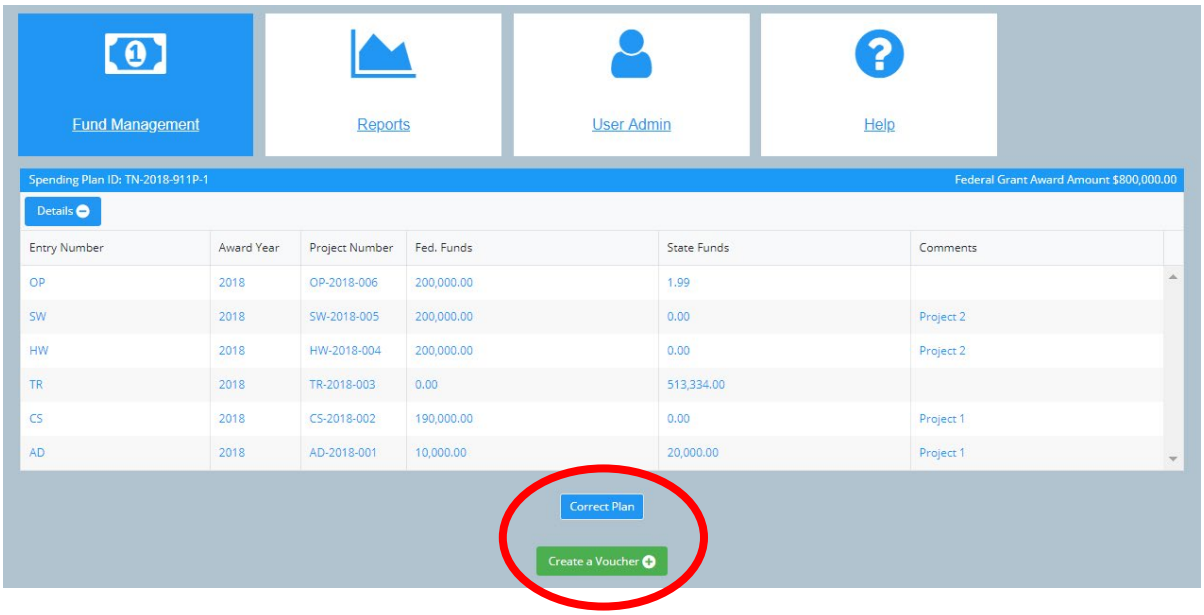
The National 911 Program Office will review and approve the plan. The status will show in the GTS dashboard and the user will receive an e-mail notice that the plan is approved.



Once approved, the spending plan will need to be posted. The spending plan must be posted before vouchering. Click the “Post Spending Plan” button at the bottom of the spending plan page.



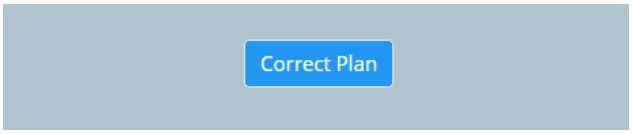
After the spending plan is posted, GTS will display “Correct Plan” and “Create a Voucher” buttons under the spending plan.



4.2. Modify Funding Plan

During the period of performance, the spending plan may change due to new developments, cost savings, or over-runs in specific projects. The awardee may move funds around if the modifications still meet the grant rules and previous expenditures. It is recommended to coordinate changes with the Office before modifying the plan in GTS.

To edit a spending plan after it has been posted, click the “Correct Plan” button on the Fund Management page.



Edit each line to be modified in the same manner as the plan was created. The new spending plan will need to be submitted, approved and posted again before you can voucher any additional funds.

Helpful Hint
Ensure you do not change any value to less than what has previously been vouchered in a respective line.

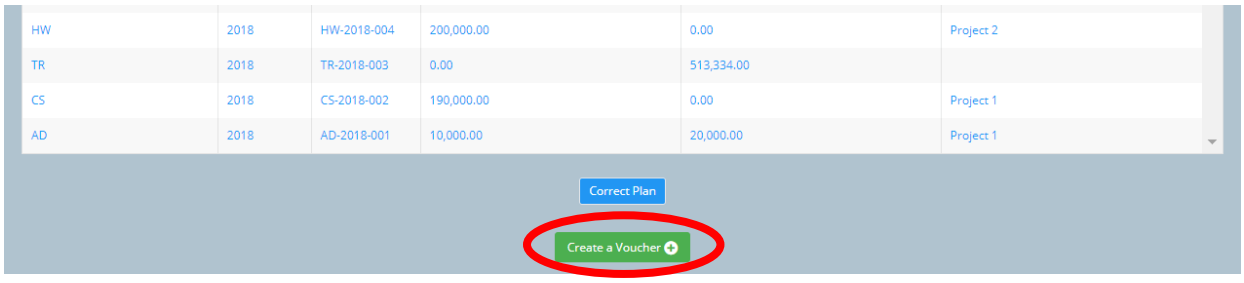
5. GRANTS TRACKING SYSTEM VOUCHERING

The voucher transaction allows awardees to electronically submit vouchers for reimbursement of project expenses. All project vouchers must be submitted before the end of the grant period. The spending plan must be approved and posted before a voucher can be submitted for a project.

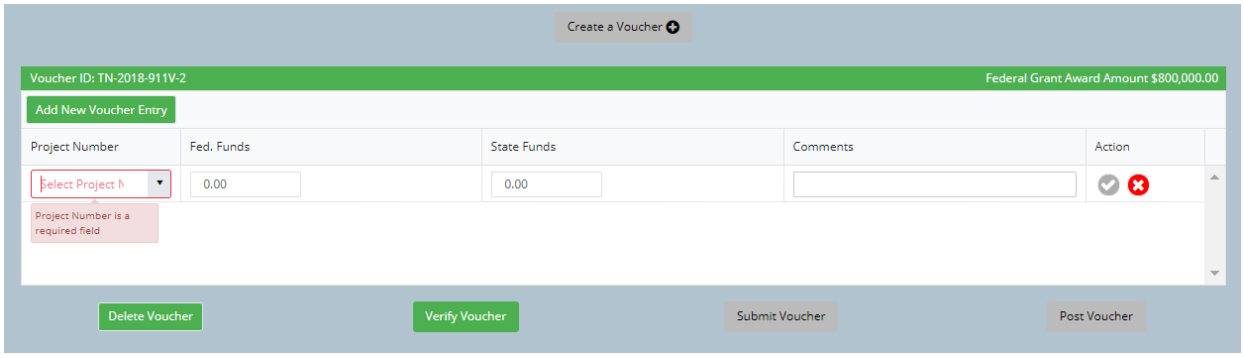
There are two types of vouchers. One is a progress voucher, which is submitted while the grant project is in process. The second is the final voucher, which is only submitted once—after the entire grant project is complete and it is time for project closeout.

Each voucher is posted and submitted individually and requires the project number and program in which the funds were expended.

To submit a voucher for payment, both payment and matching funds or matching funds only, the voucher is entered the same way. On the Fund Management page, click the “Create a Voucher” button below the plan.



Clicking “Create a Voucher” will open a voucher entry form, shown below.



The user will need to enter data into three or four fields, depending on the funds expended. One field is used to accept or delete the line.

- Project Number – Use the pull-down list to select from available projects.
- Fed Funds – Enter the amount of expended funds for reimbursement.
- State Funds – Enter the amount of state matching funds that have been expended.
- Comment – Enter a comment for future tracking or reference, such as a note or an invoice, transaction, or check number.
- Action – Select one of the two options, either a check mark or the X.
  - Click the check mark to accept the information entered. A pencil will appear in place of the check mark after accepting the line. The pencil icon will be used to edit line information, if needed.
  - Click the "X" to delete the line.

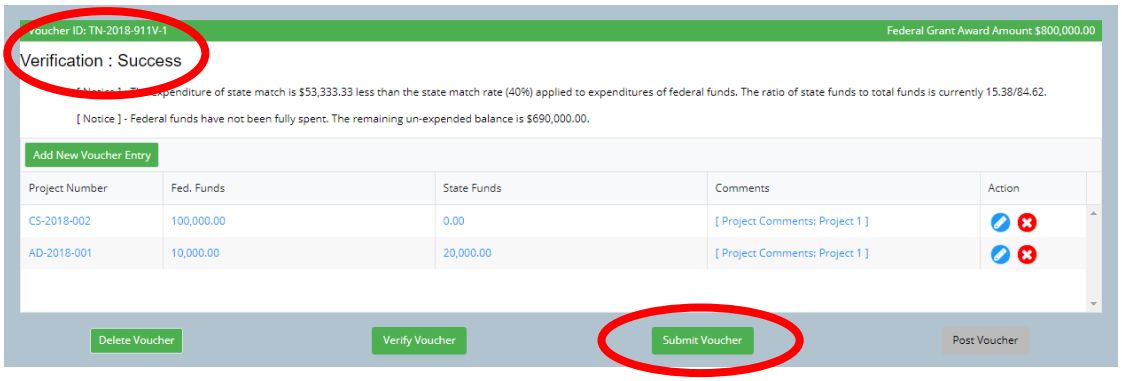
The "Delete Voucher" button will delete all lines entered. But use caution. It is better to delete one row at a time unless there are major issues.

After all lines are entered, click the "Verify Voucher" button. This will verify that the formulas are valid.

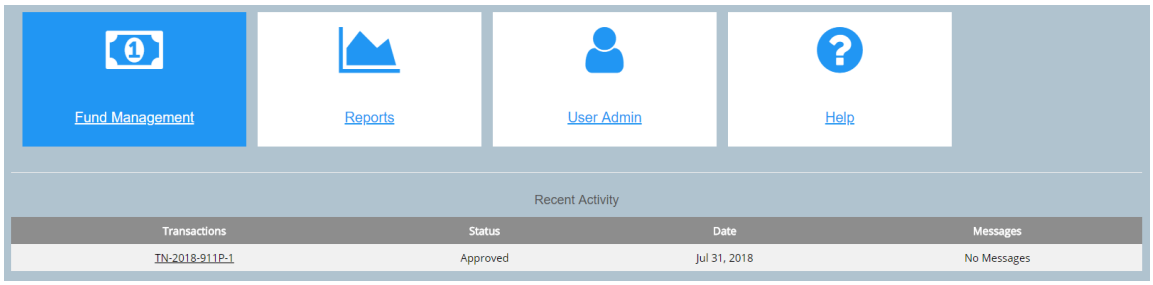
- Any critical error must be fixed before the voucher is submitted.
- The voucher can be submitted with notices, but any notice must be resolved before the last voucher is submitted at project closeout.

Helpful Hint
If you do not voucher federal and state matching funds in the 60/40 proportions, you will receive a notice. This is not a concern for individual vouchers as long as the total for the grant is corrected before the final voucher is submitted.

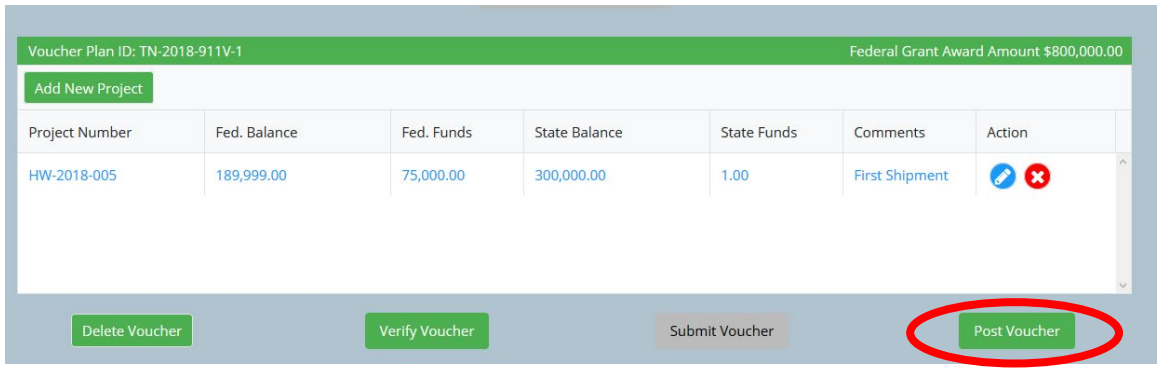
Once the verification is successful, as shown on the following page, submit the voucher for approval by clicking the "Submit Voucher" button.



Once the voucher is approved, the status will show in the GTS dashboard and the user will receive an e-mail notice that the voucher is approved.



Once approved, the voucher will need to be posted from the Fund Management page to begin payment processing. Click the “Post Voucher” button at the bottom of the voucher page.



6. PROJECT CLOSEOUT

At the end of a project, the grant must be closed out by submitting a final voucher.

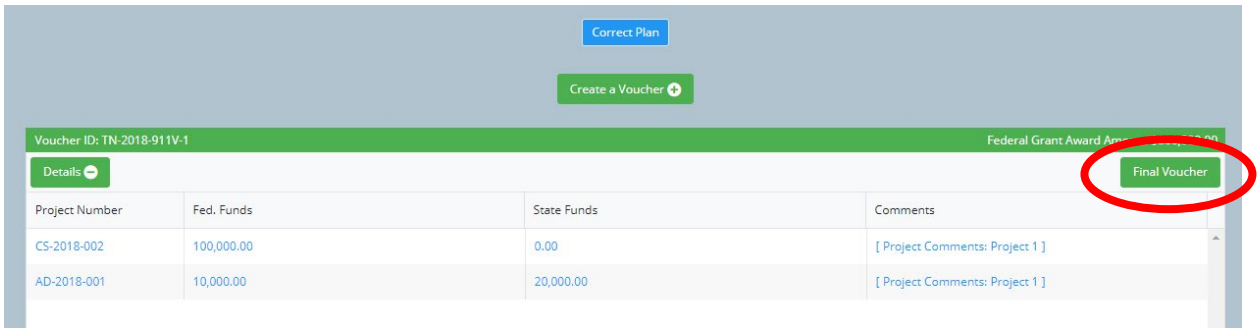
A final voucher is entered upon a project's closure. There can be no critical errors or notices remaining when entering a final voucher. Only one final voucher is entered for the entire project. All funds must have been vouchered before submitting the final voucher.

Helpful Hint

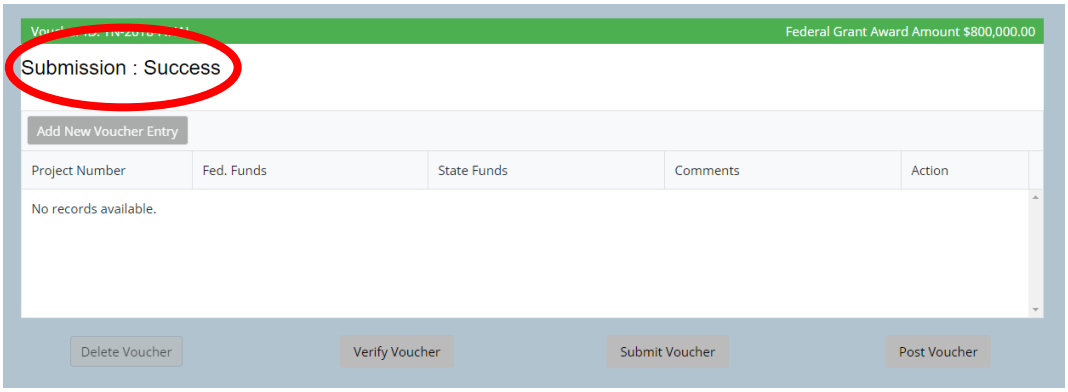
Only click the final voucher when all funds have been expended and vouchered.

There are no additional steps or a back button.

Clicking "Final Voucher" will submit the final voucher; it will not bring up a voucher form as with previous vouchers. **This is final.** The awardee will no longer be able to submit vouchers or request additional funds for this grant project. When ready, from the Fund Management page, click "Final Voucher."



GTS will return a confirmation that the submission was successful.

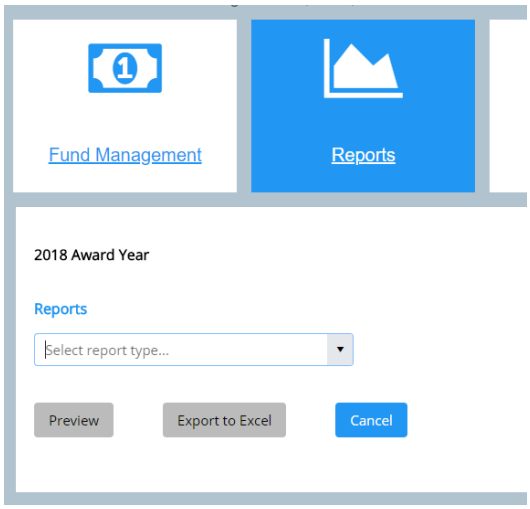


7. REPORTS

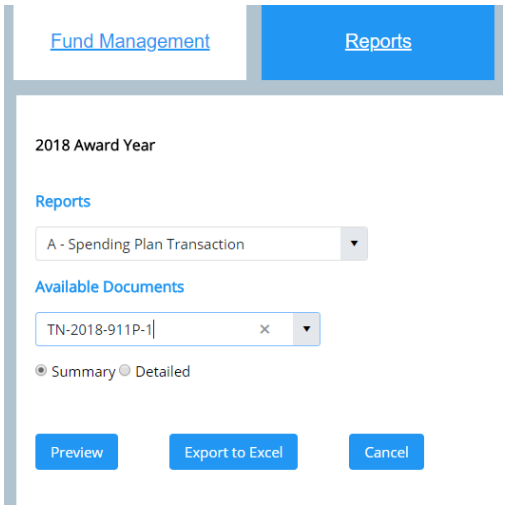
GTS has several reports that can be used for managing the grants and reporting progress.

7.1. Generate a Report

To generate a report, click the Reports link from the GTS dashboard. The award year and a pull-down list will display.



Clicking on the "▼" allows the user to select a report from the pull-down list. Once a report is selected, select the available document on which to report. Most often this will be for the awardee's current spending plan or a specific voucher.



Next select a "Summary" or "Detailed" report.

The report can be previewed in a pop-up window or exported to Excel. To print a previewed report, right click and select Print from the menu or follow the instructions of the PDF viewer being used. When exporting a report to an Excel file, it will save the file to the download folder with the filename "ExcelReport."

7.2. Available Reports

This section details the reports that are available, provides an explanation of each report, and the best use of the report. Available reports are as follows:

- Spending Plan Transaction Report
- Spending Plan Summary Report
- Spending Plan Approved Entry Number Accounts Report
- Voucher Transaction Report
- Federal Aid Reimbursement Voucher Report
- Status of Funding and Expenditures Report
- Spending Plan Match Report
- Voucher Match Review Report
- Funding vs. Expenditures Report

When referring to a report for reasons such as reporting problems or asking questions, reference the entire report title.

Helpful Hint
If you save multiple reports, it is a good idea to immediately save them in another folder and rename them as each report is run.

7.2.1. Spending Plan Transaction Report

Transaction reports on GTS show one transaction at a time that is made on the system. This is not a cumulative report. Each report displays each spending category and where those categories stand at the time of the transaction. Total funds are listed at the bottom.

The Spending Plan Transaction Report shows the entry numbers (funding categories) for a plan (spending plan or corrected plan).

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U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Florida

911 Spending Plan Transaction Report

FL-2018-911C-2

Posted: 08/13/2018

Page: 1

Report Date: 08/13/2018

Entry Number	Line	Action	Project	Description	Federal Funds	State Funds
NHTSA						
Next Generation 911						
Administrative						
	1	Plan	AD-2018-001	test 1	$600.00	$.00
	Administrative Total				$600.00	$.00
Hardware						
	5	Plan	HW-2018-005	test 5	$500.00	$1,000.00
	6	Plan	HW-2018-006	test 6	$500.00	$1,000.00
	Hardware Total				$1,000.00	$2,000.00
Software						
	7	Plan	SW-2018-007	test 7	$.00	$1,000.00
	8	Plan	SW-2018-008	test 8	$1,000.00	$1,000.00
	9	Plan	SW-2018-009	test 9	$500.00	$.00
	Software Total				$1,500.00	$2,000.00
Training						
	2	Plan	TR-2018-002	test 2	$1,000.00	$.00
	Training Total				$1,000.00	$.00
Operation						
	10	Plan	OP-2018-010	test 10	$400.00	$.00
	Operation Total				$400.00	$.00
Consulting Services						
	4	Plan	CS-2018-004	test 4	$500.00	$.00
	Consulting Services Total				$500.00	$.00
Hosted NG 911 services						

7.2.2. Spending Plan Summary Report

Summary reports show the current balance in a transaction. The Spending Plan Summary Report displays the total transactions completed up to the present day. To view the most recent summary, select the last transaction from the list of options.

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Entry Number	Project	Description	Prior Approved Federal Funds	Prior Approved State Funds	Current Federal Funds	Current State Funds
NHTSA						
Next Generation 911						
Administrative						
	AD-2018-001	test 1	$600.00	$.00	$600.00	$.00
	Administrative Total		$600.00	$.00	$600.00	$.00
Hardware						
	HW-2018-005	test 5	$500.00	$1,000.00	$500.00	$1,000.00
	HW-2018-006	test 6	$500.00	$1,000.00	$500.00	$1,000.00
	Hardware Total		$1,000.00	$2,000.00	$1,000.00	$2,000.00
Software						
	SW-2018-007	test 7	$400.00	$1,000.00	$.00	$1,000.00
	SW-2018-008	test 8	$1,000.00	$1,000.00	$1,000.00	$1,000.00
	SW-2018-009	test 9	$500.00	$.00	$500.00	$.00
	Software Total		$1,900.00	$2,000.00	$1,500.00	$2,000.00
Training						
	TR-2018-002	test 2	$1,000.00	$.00	$1,000.00	$.00
	Training Total		$1,000.00	$.00	$1,000.00	$.00
Operation						
	OP-2018-010	test 10	$.00	$.00	$400.00	$.00
	Operation Total		$.00	$.00	$400.00	$.00
Consulting Services						
	CS-2018-004	test 4	$500.00	$.00	$500.00	$.00
	Consulting Services Total		$500.00	$.00	$500.00	$.00
Hosted NG 911 services						
	HT-2018-003	test 3	$1,000.00	$.00	$1,000.00	$.00
	Hosted NG 911 services Total		$1,000.00	$.00	$1,000.00	$.00
	Next Generation 911 Total		$6,000.00	$4,000.00	$6,000.00	$4,000.00
	NHTSA Total		$6,000.00	$4,000.00	$6,000.00	$4,000.00
	Total		$6,000.00	$4,000.00	$6,000.00	$4,000.00

7.2.3. Spending Plan Approved Entry Number Accounts Report

The Spending Plan Approved Entry Number Accounts Report shows only the approved transactions in the system. This report displays the spending plan number and the funds associated with each plan number, sorted by category of eligible funds, and shows the approved funds that have posted to the system.

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U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Florida

911 Spending Plan Approved Entry Number Amounts Report

FL-2018-911C-2

Posted: 08/13/2018

Page: 1

Report Date: 08/13/2018

Entry Number	Project	Description	Federal Funds	State Funds
NHTSA				
Next Generation 911				
Administrative				
	AD-2018-001	test 1	$600.00	$.00
		Administrative Total	$600.00	$.00
Hardware				
	HW-2018-005	test 5	$500.00	$1,000.00
	HW-2018-006	test 6	$500.00	$1,000.00
		Hardware Total	$1,000.00	$2,000.00
Software				
	SW-2018-007	test 7	$.00	$1,000.00
	SW-2018-008	test 8	$1,000.00	$1,000.00
	SW-2018-009	test 9	$500.00	$.00
		Software Total	$1,500.00	$2,000.00
Training				
	TR-2018-002	test 2	$1,000.00	$.00
		Training Total	$1,000.00	$.00
Operation				
	OP-2018-010	test 10	$400.00	$.00
		Operation Total	$400.00	$.00
Consulting Services				
	CS-2018-004	test 4	$500.00	$.00
		Consulting Services Total	$500.00	$.00
Hosted NG 911 services				

7.2.4. Voucher Transaction Report

The Voucher Transaction Reports displays the entry numbers vouchered and displays one transaction at a time that is made on the system. This is not a cumulative report. Each report displays each spending category and the status of those categories at the time of the transaction. Total funds are listed at the bottom.

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U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Florida

911 Voucher Transaction Report
FL-2018-911V-3
Posted: 08/13/2018

Page: 1
Report Date: 08/13/2018

Entry Number	Line	Project	Description	Federal Funds	State Funds
NHTSA					
Next Generation 911					
Hardware					
	1	HW-2018-005	[Project Comments: test 5] state only	$.00	$500.00
	2	HW-2018-006	[Project Comments: test 6] voucher	$500.00	$1,000.00
	Hardware Total			$500.00	$1,500.00
Software					
	3	SW-2018-007	[Project Comments: test 7] voucher note	$.00	$1,000.00
	4	SW-2018-008	[Project Comments: test 8] note	$1,000.00	$1,000.00
	5	SW-2018-009	[Project Comments: test 9]	$500.00	$.00
	Software Total			$1,500.00	$2,000.00
Operation					
	6	OP-2018-010	[Project Comments: test 10]	$400.00	$.00
	Operation Total			$400.00	$.00
	Next Generation 911 2018 Total			$2,400.00	$3,500.00
	Next Generation 911 Total			$2,400.00	$3,500.00
	NHTSA Total			$2,400.00	$3,500.00
	Total			$2,400.00	$3,500.00

7.2.5. Federal Aid Reimbursement Voucher Report

The Federal Aid Reimbursement Voucher Report provides a general summary of reimbursement information that can be signed and kept for financial records.

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Entry Number	Project	Description	Federal Funds Planned	State Funds Planned	State/Federal Cost to Date	Federal Funds Expended	Fed Previous Amount Claimed	Fed Funds Claimed this Period
NHTSA								
Next Generation 911								
Administrative								
	AD-2018-001		$600.00	$.00	$600.00	$600.00	$600.00	$.00
	Administrative Total		$600.00	$.00	$600.00	$600.00	$600.00	$.00
Hardware								
	HW-2018-005	[Project Comments: test 5] state only	$500.00	$1,000.00	$1,500.00	$500.00	$500.00	$.00
	HW-2018-006	[Project Comments: test 6] voucher	$500.00	$1,000.00	$1,500.00	$500.00	$.00	$500.00
	Hardware Total		$1,000.00	$2,000.00	$3,000.00	$1,000.00	$500.00	$500.00
Software								
	SW-2018-007	[Project Comments: test 7] voucher note	$.00	$1,000.00	$1,000.00	$.00	$.00	$.00
	SW-2018-008	[Project Comments: test 8] note	$1,000.00	$1,000.00	$2,000.00	$1,000.00	$.00	$1,000.00
	SW-2018-009	[Project Comments: test 9]	$500.00	$.00	$500.00	$500.00	$.00	$500.00
	Software Total		$1,500.00	$2,000.00	$3,500.00	$1,500.00	$.00	$1,500.00
Training								
	TR-2018-002		$1,000.00	$.00	$1,000.00	$1,000.00	$1,000.00	$.00
	Training Total		$1,000.00	$.00	$1,000.00	$1,000.00	$1,000.00	$.00
Operation								
	OP-2018-010	[Project Comments: test 10]	$400.00	$.00	$400.00	$400.00	$.00	$400.00
	Operation Total		$400.00	$.00	$400.00	$400.00	$.00	$400.00
Consulting Services								
	CS-2018-004		$500.00	$.00	$500.00	$500.00	$500.00	$.00
	Consulting Services Total		$500.00	$.00	$500.00	$500.00	$500.00	$.00
Hosted NG 911 services								
	HT-2018-003		$1,000.00	$.00	$1,000.00	$1,000.00	$1,000.00	$.00
	Hosted NG 911 services Total		$1,000.00	$.00	$1,000.00	$1,000.00	$1,000.00	$.00
	Next Generation 911 Total		$6,000.00	$4,000.00	$10,000.00	$6,000.00	$3,600.00	$2,400.00
	NHTSA Total		$6,000.00	$4,000.00	$10,000.00	$6,000.00	$3,600.00	$2,400.00
	Total		$6,000.00	$4,000.00	$10,000.00	$6,000.00	$3,600.00	$2,400.00

7.2.6. Status of Funding and Expenditures Report

The Status of Funding and Expenditures Report displays a snapshot in time of the account. The report shows the funding limit on the account and the money left to be spent. Funding qualifies as expended once a voucher has been submitted for that amount.

U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Tennessee
911 Status of Funding and Expenditures Report
TN-2018-911P-1
Posted: 06/25/2019
Reimbursement Info: Total: $800,000.00

Page: 1
Report Date: 07/11/2019
Claim Period: -
Not Posted In DELPHI

Entry Number	Federal Grant Award	Planned Funds	Expended Funds	Unplanned Balance	Unexpended Balance
Administrative Total		$40,000.00	$.00		$40,000.00
Hardware Total		$160,000.00	$.00		$160,000.00
Software Total		$60,000.00	$.00		$60,000.00
Training Total		$20,000.00	$.00		$20,000.00
Hosted NG 911 services Total		$520,000.00	$.00		$520,000.00
Next Generation 911 Total	$800,000.00	$800,000.00	$.00	$.00	$800,000.00
NHTSA Total	$800,000.00	$800,000.00	$.00	$.00	$800,000.00
Total	$800,000.00	$800,000.00	$.00	$.00	$800,000.00

I CERTIFY, that in accordance with the laws of the state and under the terms (APPROVAL AND PAYMENT ARE SUBJECT TO ADJUSTMENT, of the approved program(s) that actual costs claimed have been incurred and YEAR-END AUDIT OR OTHER APPROPRIATE REVIEW) have not previously been presented for payment.

State Official:

7.2.7. Spending Plan Match Report

The Spending Plan Match Report displays the status of the required match in each funding category (entry number) of the spending plan. This report shows the percentage of the match requirement that is met in terms of the spending plan.

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Entry Number	Project	Description	Federal Funds	State Funds	State Match Percentage
NHTSA					
Next Generation 911					
Administrative					
	AD-2018-001	test 1	$600.00	$.00	0%
	Administrative Total		$600.00	$.00	0%
Hardware					
	HW-2018-006	test 6	$500.00	$1,000.00	67%
	HW-2018-005	test 5	$500.00	$1,000.00	67%
	Hardware Total		$1,000.00	$2,000.00	67%
Software					
	SW-2018-007	test 7	$.00	$1,000.00	100%
	SW-2018-009	test 9	$500.00	$.00	0%
	SW-2018-008	test 8	$1,000.00	$1,000.00	50%
	Software Total		$1,500.00	$2,000.00	57%
Training					
	TR-2018-002	test 2	$1,000.00	$.00	0%
	Training Total		$1,000.00	$.00	0%
Operation					
	OP-2018-010	test 10	$400.00	$.00	0%
	Operation Total		$400.00	$.00	0%
Consulting Services					
	CS-2018-004	test 4	$500.00	$.00	0%
	Consulting Services Total		$500.00	$.00	0%
Hosted NG 911 services					
	HT-2018-003	test 3	$1,000.00	$.00	0%
	Hosted NG 911 services Total		$1,000.00	$.00	0%
	Next Generation 911 Total		$6,000.00	$4,000.00	40%
	NHTSA Total		$6,000.00	$4,000.00	40%
	Total		$6,000.00	$4,000.00	40%

7.2.8. Voucher Match Review Report

The Voucher Match Review Report shows the status of the required match in each transaction area. This report shows the percentages of the match requirement that is met. This report shows the match in terms of the vouchers submitted.

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U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Florida

911 Voucher Match Review Report

FL-2018-911V-3

Posted: 08/13/2018

Page: 1

Report Date: 08/13/2018

Entry Number	Project	Description	Federal Funds	State Funds	State Match Percentage
NHTSA					
Next Generation 911					
Hardware					
	HW-2018-005	[Project Comments: test 5] state only	$.00	$500.00	100%
	HW-2018-006	[Project Comments: test 6] voucher	$500.00	$1,000.00	67%
	Hardware Total		$500.00	$1,500.00	75%
Software					
	SW-2018-007	[Project Comments: test 7] voucher note	$.00	$1,000.00	100%
	SW-2018-009	[Project Comments: test 9]	$500.00	$.00	0%
	SW-2018-008	[Project Comments: test 8] note	$1,000.00	$1,000.00	50%
	Software Total		$1,500.00	$2,000.00	57%
Operation					
	OP-2018-010	[Project Comments: test 10]	$400.00	$.00	0%
	Operation Total		$400.00	$.00	0%
	Next Generation 911 Total		$2,400.00	$3,500.00	59%
	NHTSA Total		$2,400.00	$3,500.00	59%
	Total		$2,400.00	$3,500.00	59%

7.2.9. Funding vs. Expenditures Report

The Funding vs. Expenditures Report contains different types of analysis, including the following:

1. Approved amount of funding in the spending plan
2. Percent of the total funding that is in each section of the spending plan
3. Sum of funding planned for in each entry number
4. Sum of funding that has been expended (meaning a voucher has been submitted for this money)
5. Balance of funding that has not been expended

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U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Florida

911 Funding vs Expenditures Report

FL-2018-911V-2

Posted: 08/13/2018

Page: 1

Report Date: 08/13/2018

Entry Number	Project	Description	Planned Federal Amount	Percent Planned	Sum of Expenditures	Percent Expended	Unexpended Balance	Est. Months to Liquidate
NHTSA								
Next Generation 911								
Administrative								
	AD-2018-001		$600.00	10%	$600.00	17%	$.00	0
	Administrative Total		$600.00	10%	$600.00	17%	$.00	0
Hardware								
	HW-2018-005	[Project Comments: test 5] voucher 5	$500.00	8%	$500.00	14%	$.00	0
	HW-2018-006		$500.00	8%	$.00	0%	$500.00	*
	Hardware Total		$1,000.00	17%	$500.00	14%	$500.00	*
Software								
	SW-2018-009		$500.00	8%	$.00	0%	$500.00	*
	SW-2018-008		$1,000.00	17%	$.00	0%	$1,000.00	*
	Software Total		$1,500.00	25%	$.00	0%	$1,500.00	*
Training								
	TR-2018-002	[Project Comments: test 2] vouvher 4	$1,000.00	17%	$1,000.00	28%	$.00	0
	Training Total		$1,000.00	17%	$1,000.00	28%	$.00	0
Operation								
	OP-2018-010		$400.00	7%	$.00	0%	$400.00	*
	Operation Total		$400.00	7%	$.00	0%	$400.00	*
Consulting Services								
	CS-2018-004	[Project Comments: test 4] voucher 3	$500.00	8%	$500.00	14%	$.00	0
	Consulting Services Total		$500.00	8%	$500.00	14%	$.00	0
Hosted NG 911 services								

APPENDIX A—APPLICABLE LAWS AND REGULATIONS

Appendix A has been numbered as Section 8 for ease of reference.

8. LAWS AND REGULATIONS

8.1. 47 U.S.C. § 942: Next Generation 911 (NG911) Advancement Act of 2012

Every grant program has enabling legislation that prescribes policies and requirements for each program. The NG911 Advancement Act of 2012 (as part of the Middle Class Tax Relief and Job Creation Act of 2012) is the enabling legislation for the 911 grant program.

8.1.1. Requirements: 47 U.S.C. § 942(b)(3)

The Act requires an applicant to certify that it has:

- Coordinated its application with the public safety answering points located within the jurisdiction
- Designated a single officer or governmental body of the entity to serve as the coordinator of implementation of 911, except that such designation need not vest such coordinator with direct legal authority to implement 911 services, E911 services, or Next Generation 911 services or to manage emergency communications operations
- Established a plan for the coordination and implementation of 911 services, E911 services, and Next Generation 911 services
- Integrated telecommunications services involved in the implementation and delivery of 911 services, E911 services, and Next Generation 911 services

8.1.2. Certification: 47 U.S.C. § 942(c)(2)

The Act also requires each applicant for a matching grant under this section shall certify to the Assistant Secretary and the Administrator at the time of application, and each applicant that receives such a grant shall certify to the Assistant Secretary and the Administrator annually thereafter during any period of time during which the funds from the grant are available to the applicant, that no portion of any designated 911 charges imposed by a State or other taxing jurisdiction within which the applicant is located are being obligated or expended for any purpose other than the purposes for which such charges are designated or presented during the period beginning 180 days immediately preceding the date of the application and continuing through the period of time during which the funds from the grant are available to the applicant.

8.1.3. Penalties: 47 U.S.C. § 942(c)(4)

The Act imposes a penalty for providing false information on a certification. An applicant providing false information on a certification will:

- Not be eligible to receive a grant
- Be required to return any grant awarded during the time that the certification is not valid
- Not be eligible to receive subsequent grants

As directed by the NG911 Act, the Department of Transportation and the Department of Commerce will soon issue joint implementing regulations that will detail application, award, and administrative procedures to implement the 911 grant program.

8.2. 2 CFR Part 1201: Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards

Except as otherwise provided in this part, the Department of Transportation adopts the Office of Management and Budget Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR part 200). This part supersedes and repeals the requirements of the Department of Transportation Common Rules (49 CFR part 18—Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments and 49 CFR part 19—Uniform Administrative Requirements—Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-Profit Organizations), except that grants and cooperative agreements executed prior to December 26, 2014 shall continue to be subject to 49 CFR parts 18 and 19 as in effect on the date of such grants or agreements. New parts with terminology specific to the Department of Transportation follow.

8.2.1. Sections to Note

2 CFR § 1201.80 Program Income

This section outlines the allowable uses and requirements with regard to program income.

https://www.gpo.gov/fdsys/pkg/CFR-2016-title2-vol1/pdf/CFR-2016-title2-vol1-sec1201-80.pdf

2 CFR § 1201.206 Standard Application Requirements

The requirements of 2 CFR 200.206 do not apply to formula grant programs, which do not require applicants to apply for funds on a project basis.

https://www.gpo.gov/fdsys/pkg/CFR-2016-title2-vol1/pdf/CFR-2016-title2-vol1-sec1201-108.pdf

2 CFR § 1201.313 Equipment

Notwithstanding 2 CFR 200.313, subrecipients of States shall follow such policies and procedures allowed by the State with respect to the use, management and disposal of equipment acquired under a Federal award.

https://www.gpo.gov/fdsys/pkg/CFR-2016-title2-vol1/pdf/CFR-2016-title2-vol1-sec1201-108.pdf

2 CFR § 1201.317 Procurement by States

Notwithstanding 2 CFR 200.317, subrecipients of States shall follow such policies and procedures allowed by the State when procuring property and services under a Federal award. https://www.gpo.gov/fdsys/pkg/CFR-2016-title2-vol1/pdf/CFR-2016-title2-vol1-sec1201-108.pdf

2 CFR § 1201.327 Financial Reporting

Notwithstanding 2 CFR 200.327, recipients of FHWA and NHTSA financial assistance may use FHWA, NHTSA or State financial reports.

https://www.gpo.gov/fdsys/pkg/CFR-2016-title2-vol1/pdf/CFR-2016-title2-vol1-sec1201-108.pdf

8.3. 2 CFR Part 200

In December 2014, the Office of Management and Budget (OMB) published 2 CFR Part 200 (referred to as the “Supercircular”) to streamline the Government-wide guidance on Administrative Requirements, Cost Principles, and Audit Requirements for Federal awards. The Supercircular consolidates and eliminates the duplicative guidance found in 8 OMB circulars which includes A-50, Audit Follow-Up, A-87, Cost Principles for State, Local, and Indian Tribal Governments; A-102, Grants and Cooperative Agreements with States and Local Governments; and A-133, Audits of States, Local Governments, and Non-Profit Organizations. The new Supercircular expands requirements in several areas.

8.3.1. 2 CFR Part 200 § 200.26 Standard Application Requirements

(a) Paperwork clearances. The Federal awarding agency may only use application information collections approved by OMB under the Paperwork Reduction Act of 1995 and OMB's implementing regulations in 5 CFR part 1320, Controlling Paperwork Burdens on the Public. Consistent with these requirements, OMB will authorize additional information collections only on a limited basis.
(b) If applicable, the Federal awarding agency may inform applicants and recipients that they do not need to provide certain information otherwise required by the relevant information collection.

8.3.2. 2 CFR Part 200 § 200.207 Specific Conditions

The Federal awarding agency may impose specific award conditions as needed under certain circumstances.
https://www.ecfr.gov/cgi-bin/retrieveECFR?gp=&SID=2b80400c8e6ca7f1a6ea6949a6f2e631&mc=true&n=pt2.1.200&r=PART&ty=HTML#se2.1.200_1206

8.3.3. 2 CFR Part 200 § 200.208 Certifications and Representations

Unless prohibited by Federal statutes or regulations, each Federal awarding agency or pass-through entity is authorized to require the non-Federal entity to submit certifications and representations required by Federal statutes, or regulations on an annual basis. Submission may be required more frequently if the non-Federal entity fails to meet a requirement of a Federal award.

8.3.4. 2 CFR Part 200 § 200.307 Program Income

Grantees are encouraged to generate program income to help defray program costs. This section lays out the limitations and procedures associated with program income.

https://www.ecfr.gov/cgi-bin/retrieveECFR?gp=&SID=2b80400c8e6ca7f1a6ea6949a6f2e631&mc=true&n=pt2.1.200&r=PART&ty=HTML#se2.1.200_1307

8.3.5. 2 CFR Part 200 § 200.321 Contracting with Small and Minority Businesses, Women's Enterprise Businesses, and Labor Surplus Area Firms

It is a national policy to award a fair share of contracts to small and minority business firms. Grantees shall take similar appropriate affirmative action to support of women's enterprises and are encouraged to procure goods and services from labor surplus areas.

8.3.6. 2 CFR Part 200 § 200.327 Financial Reporting

Unless otherwise approved by OMB, the Federal awarding agency may solicit only the standard, OMB-approved governmentwide data elements for collection of financial information (at time of publication the Federal Financial Report or such future collections as may be approved by OMB and listed on the OMB Web site). This information must be collected with the frequency required by the terms and conditions of the Federal award, but no less frequently than annually nor more frequently than quarterly except in unusual circumstances, for example where more frequent reporting is necessary for the effective monitoring of the Federal award or could significantly affect program outcomes, and preferably in coordination with performance reporting.

8.3.7. 2 CFR Part 200 § 200.328 Monitoring and Reporting Program Performance

This section outlines report requirements to include performance reports and monitoring, and program progress.

https://www.ecfr.gov/cgi-bin/retrieveECFR?gp=&SID=2b80400c8e6ca7f1a6ea6949a6f2e631&mc=true&n=pt2.1.200&r=PART&ty=HTML#se2.1.200_1328

8.4. Federal Laws that Impact Grant Procedures and Management

8.4.1. Resource Conservation and Recovery Act ("RCRA"): 42 U.S.C. § 6962

Any State agency or agency of a political subdivision that is using appropriated Federal funds must comply with the RCRA. The RCRA requires that preference be given in procurement programs to the purchase of specific products containing recycled materials identified in guidelines developed by the EPA at 40 C.F.R. Parts 247-253.

8.4.2. Freedom of Information Act (FOIA): 5. U.S.C. §552

Much of the information submitted in the course of applying for funding under this program or provided in the course of its grant management activities, may be considered law enforcement sensitive or otherwise important to national security interests. This may include threat, risk, and needs assessment information, and discussions of demographics, transportation, public works, and industrial and public health infrastructures. While this information under Federal control is subject to requests made pursuant to the Freedom of Information Act (FOIA), 5. U.S.C. §552, all determinations concerning the release of information of this nature are made on a case-by-case basis and may fall within one or more of the available exemptions under the Act.

The applicant is encouraged to consult its own State and local laws and regulations regarding the release of information, which should be considered when reporting sensitive matters in the grant application, strategic planning process, and Investment Justifications. The applicant may also consult the Department of Homeland Security (DHS) and the National Telecommunications and Information Administration (NTIA) regarding concerns or questions about the release of information under State and local laws.

The grantee should be familiar with the regulations governing Protected Critical Infrastructure Information (6 CFR Part 29) and Sensitive Security Information (49 CFR Part 1520), as these designations may provide additional protection to certain classes of homeland security information.

8.4.3. Compliance with Federal Civil Rights Laws and Regulations

The grantee is required to comply with Federal civil rights laws and regulations. Specifically, the grantee is required to provide assurances as a condition for receipt of Federal funds that its programs and activities comply with the following:

- Title VI of the Civil Rights Act of 1964, as amended, 42. U.S.C. 2000 et. seq. – No person on the grounds of race, color or national origin will be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination in any program or activity receiving Federal financial assistance.

- Section 504 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. 794 – No qualified individual with a disability in the United States, shall, by reason of his or her disability, be excluded from the participation in, be denied the benefits of, or otherwise be subjected to discrimination in any program or activity receiving Federal financial assistance.

- Title IX of the Education Amendments of 1972, as amended, 20 U.S.C. 1681 et. seq. – Discrimination on the basis of sex is eliminated in any education program or activity receiving Federal financial assistance.

- The Age Discrimination Act of 1975, as amended, 20 U.S.C. 6101 et. seq. – No person in the United States shall be, on the basis of age, excluded from participation in, denied the benefits of, or subjected to discrimination under any program or activity receiving Federal financial assistance.

- Title VI of the Civil Rights Act of 1964, as amended – These laws prohibit discrimination on the basis of race, color, religion, national origin, and sex in the delivery of services. National origin discrimination includes discrimination on the basis of limited English proficiency. To ensure compliance with Title VI, recipients are required to take reasonable steps to ensure that LEP persons have meaningful access to their programs. Reasonable costs associated with providing meaningful access for LEP individuals are considered allowable program costs. For additional information, please see http://www.lep.gov.

The grantee must comply with all regulations, guidelines, and standards adopted under the above statutes.

8.4.4. Integrating Individuals with Disabilities into Emergency Planning

Executive Order #13347, entitled "Individuals with Disabilities in Emergency Preparedness" and signed in July 2004, requires the Federal Government to support safety and security for individuals with disabilities in situations involving disasters, including earthquakes, tornadoes, fires, floods, hurricanes, and acts of terrorism. Further information on this issue can be found at the Disability and Emergency Preparedness Resource Center at http://www.disabilitypreparedness.gov.

8.4.5. Improper Payments Information Act

This legislation greatly expands the efforts to identify and reduce erroneous payments in the government's programs and activities. When implemented, this guidance promises to improve the integrity of the government's payments and the efficiency of its programs and activities.

Under the Act, agencies are required to review annually all programs and activities they administer and identify those which may be susceptible to significant erroneous payments. This includes payments from Federal awards made by recipients and subrecipients subject to the Single Audit Act Amendments of 1996 as well as Federal grants and subgrants expended by for-profit and non-U.S. based entities not subject to that Act. For all programs and activities where the risk of erroneous payments is significant, agencies shall estimate the annual amount of erroneous payments, and report the estimates to the President and Congress with a progress report on actions to reduce erroneous payments.

http://www.whitehouse.gov/omb/memoranda/m03-13.html

8.4.6. Federal Civil False Claims Act: 31 U.S.C. § 3729

The Federal Civil False Claims Act and its associated regulations and guidelines provide standards to protect the United States Government from fraud and abuse. The Act prohibits knowingly making a false claim against the government.

31 U.S.C. § 3729. False claims

(a) LIABILITY FOR CERTAIN ACTS.—

(1) IN GENERAL.—Subject to paragraph (2), any person who—

(A) knowingly presents, or causes to be presented, a false or fraudulent claim for payment or approval;
(B) knowingly makes, uses, or causes to be made or used, a false record or statement material to a false or fraudulent;
(C) conspires to commit a violation of subparagraph (A), (B), (D), (E), (F), or (G);
(D) has possession, custody, or control of property or money used, or to be used, by the Government and knowingly delivers, or causes to be delivered, less than all of that money or property;
(E) is authorized to make or deliver a document certifying receipt of property used, or to be used, by the Government and, intending to defraud the Government, makes or delivers the receipt without completely knowing that the information on the receipt is true;
(F) knowingly buys, or receives as a pledge of an obligation or debt, public property from an officer or employee of the Government, or a member of the Armed Forces, who lawfully may not sell or pledge property; or

(G) knowingly makes, uses, or causes to be made or used, a false record or statement material to an obligation to pay or transmit money or property to the Government, or knowingly conceals or knowingly and improperly avoids or decreases an obligation to pay or transmit money or property to the Government,

is liable to the United States Government for a civil penalty of not less than $5,000 and not more than $10,000, as adjusted by the Federal Civil Penalties Inflation Adjustment Act of 1990 (28 U.S.C. 2461 note; Public Law 104-410), plus 3 times the amount of damages which the Government sustains because of the act of that person.

https://www.law.cornell.edu/uscode/text/31/3729

8.4.7. Lobbying Disclosure: 31 U.S.C. § 1352

Section 1352 of U.S.C. Title 31 (Money and Finance), provides that none of the funds appropriated by any Act may be expended by the recipient of a Federal contract, grant, loan, or cooperative agreement to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any Federal action.

Each person who requests or receives a Federal contract, grant, loan, or cooperative agreement from an agency or requests or receives from an agency a commitment providing for the United States to insure or guarantee a loan shall file with that agency a written declaration. The declaration should contain:

- The name of any registrant under the Lobbying Disclosure Act of 1995 who has made lobbying contacts on behalf of the person with respect to that Federal contract, grant, loan, or cooperative agreement; and
- A certification that the person making the declaration has not made, and will not make, any payment that is prohibited.

The law also states that any person who makes an expenditure that is prohibited will be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such expenditure.

https://www.law.cornell.edu/uscode/text/31/1352

8.4.8. Government Performance and Results Act

The Government Performance and Results Act of 1993 seeks to shift the focus of government decision making and accountability away from a preoccupation with the activities that are undertaken, such as grants dispensed or inspections made, to a focus on the results of those activities, such as real gains in employability, safety, responsiveness, or program quality. Under the Act, agencies are to develop multiyear strategic plans, annual performance plans, and annual performance reports.

http://www.whitehouse.gov/omb/mgmt-gpra/gplaw2m.html#h4

8.5. Regulatory Analyses and Notices

8.5.1. Executive Order 12866 (Regulatory Policies and Procedures)

This rulemaking has been determined to be significant under section 3(f)(4) of Executive Order 12866, and therefore has been reviewed by the Office of Management and Budget (OMB).

8.5.2. Executive Order 13771

This rulemaking is exempt from the requirements of Executive Order 13771 because it is a "transfer rule."

8.5.3. Administrative Procedure Act

The effective date of this final rule is the date of publication. The Administrative Procedure Act's required 30-day delay in effective date for substantive rules does not apply here as this rule concerns grants. *See* 5 U.S.C. 553(a)(2).

8.5.4. Regulatory Flexibility Act

The Chief Counsel for Regulation of the Department of Commerce and the Assistant Chief Counsel for the National Highway Traffic Safety Administration certified to the Small Business Administration Office of Advocacy at the proposed rule stage that this final rule is not expected to have a significant economic impact on a substantial number of small entities. Congress enacted the Regulatory Flexibility Act of 1980 (RFA), as amended, 5 U.S.C. 601-612, to ensure that Government regulations do not unnecessarily or disproportionately burden small entities. The RFA requires a regulatory flexibility analysis if a rule would have a significant

economic impact on a substantial number of small entities. The majority of potential applicants (56) for 911 grants are U.S. States and Territories, which are not "small entities" for the purposes of the RFA. *See* 5 U.S.C. 601(5). The remaining potential grant applicants are a small number of Tribal Organizations (approximately 13) with a substantial emergency management/public safety presence within their jurisdictions. Like States, Tribal Organizations are not "small entities" for the purposes of the RFA. *See* Small Business Regulatory Flexibility Improvements Act of 2015, S. 1536, 114th Cong. § 2(d) (2015) (proposing to add Tribal Organizations to the RFA's "small governmental jurisdiction" definition, one of three categories of "small entities" in the RFA). Therefore, we have determined under the RFA that this final rule would not have a significant economic impact on a substantial number of small entities. Accordingly, no Regulatory Flexibility Analysis is required, and none has been prepared.

8.5.5. Congressional Review Act

This rulemaking has not been determined to be major under the Congressional Review Act, 5 U.S.C. 801 *et seq.*

8.5.6. Executive Order 13132 (Federalism)

This final rule does not contain policies having federalism implications requiring preparations of a Federalism Summary Impact Statement.

8.5.7. Executive Order 12988 (Civil Justice Reform)

This rulemaking has been reviewed under Executive Order 12988, Civil Justice Reform, as amended by Executive Order 13175. The Agencies have determined that the final rule meets the applicable standards provided in section 3 of the Executive Order to minimize litigation, eliminate ambiguity, and reduce burden.

8.5.8. Executive Order 12372 (Intergovernmental Consultation)

Applications under this program are subject to Executive Order 12372, "Intergovernmental Review of Federal Programs," which requires intergovernmental consultation with State and local officials. All applicants are required to submit a copy of their applications to their designated State Single Point of Contact (SPOC) offices. *See* 7 CFR part 3015, subpart V.

8.5.9. Executive Order 12630

This final rule does not contain policies that have takings implications.

8.5.10. Executive Order 13175 (Consultation and Coordination with Indian Tribes)

The Agencies have analyzed this final rule under Executive Order 13175 and have determined that the action would not have a substantial direct effect on one or more Indian tribes, would not impose substantial direct compliance costs on Indian tribal governments, and would not preempt tribal law. The program is voluntary and any Tribal Organization that chooses to apply and subsequently qualifies would receive grant funds. Therefore, a tribal summary impact statement is not required.

APPENDIX B—EXAMPLE REPORTS

The following pages contain examples of each detailed report.

8/22/2018

U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Tennessee

911 Spending Plan Transaction Report

TN-2018-911P-1

Posted: 08/22/2018

Report Date: 08/22/2018

Entry Number	Line	Action	Project	Description	Federal Funds	State Funds
NHTSA						
Next Generation 911						
Administrative						
	7	Plan	AD-2018-007	General Administration	$40,000.00	$80,000.00
Administrative Total					$40,000.00	$80,000.00
Hardware						
	6	Plan	HW-2018-006	Project 1 - Computers, routers, and Switches	$160,000.00	$75,000.00
Hardware Total					$160,000.00	$75,000.00
Software						
	5	Plan	SW-2018-005	Project 1 - Mapping Software	$60,000.00	$33,334.00
Software Total					$60,000.00	$33,334.00
Training						
	4	Plan	TR-2018-004	Project 1 - PSAP Training	$20,000.00	$120,000.00
Training Total					$20,000.00	$120,000.00
Operation						
	3	Plan	OP-2018-003	Project 2 - Operate during Turn Down	$150,000.00	$75,000.00
Operation Total					$150,000.00	$75,000.00
Consulting Services						
	2	Plan	CS-2018-002	Project 2 - Procurement support	$50,000.00	$150,000.00
Consulting Services Total					$50,000.00	$150,000.00
Hosted NG 911 services						
	1	Plan	HT-2018-001	Project 2-NGCS	$320,000.00	$.00
Hosted NG 911 services Total					$320,000.00	$.00
Next Generation 911 Total					$800,000.00	$533,334.00
NHTSA Total					$800,000.00	$533,334.00

Total	$800,000.00	$533,334.00

8/22/2018

U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Tennessee

911 Spending Plan Summary Report

TN-2018-911P-1

Posted: 08/22/2018

Report Date: 08/22/2018

Entry Number	Project	Description	Prior Approved Federal Funds	Prior Approved State Funds	Current Federal Funds	Current State Funds
NHTSA						
Next Generation 911						
Administrative						
	AD-2018-007	General Administration	$.00	$.00	$40,000.00	$80,000.00
Administrative Total			$.00	$.00	$40,000.00	$80,000.00
Hardware						
	HW-2018-006	Project 1 - Computers, routers, and Switches	$.00	$.00	$160,000.00	$75,000.00
Hardware Total			$.00	$.00	$160,000.00	$75,000.00
Software						
	SW-2018-005	Project 1 - Mapping Software	$.00	$.00	$60,000.00	$33,334.00
Software Total			$.00	$.00	$60,000.00	$33,334.00
Training						
	TR-2018-004	Project 1 - PSAP Training	$.00	$.00	$20,000.00	$120,000.00
Training Total			$.00	$.00	$20,000.00	$120,000.00
Operation						
	OP-2018-003	Project 2 - Operate during Turn Down	$.00	$.00	$150,000.00	$75,000.00
Operation Total			$.00	$.00	$150,000.00	$75,000.00
Consulting Services						
	CS-2018-002	Project 2 - Procurement support	$.00	$.00	$50,000.00	$150,000.00
Consulting Services Total			$.00	$.00	$50,000.00	$150,000.00
Hosted NG 911 services						
	HT-2018-001	Project 2-NGCS	$.00	$.00	$320,000.00	$.00
Hosted NG 911 services			$.00	$.00	$320,000.00	$.00

8/22/2018

Total				
Next Generation 911 Total	$.00	$.00	$800,000.00	$533,334.00
NHTSA Total	$.00	$.00	$800,000.00	$533,334.00
Total	$.00	$.00	$800,000.00	$533,334.00

8/22/2018

U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Tennessee

911 Spending Plan Approved Entry Number Amounts Report

TN-2018-911P-1

Posted: 08/22/2018

Report Date: 08/22/2018

Entry Number	Project	Description	Federal Funds	State Funds
NHTSA				
Next Generation 911				
Administrative				
	AD-2018-007	General Administration	$40,000.00	$80,000.00
	Administrative Total		$40,000.00	$80,000.00
Hardware				
	HW-2018-006	Project 1 - Computers, routers, and Switches	$160,000.00	$75,000.00
	Hardware Total		$160,000.00	$75,000.00
Software				
	SW-2018-005	Project 1 - Mapping Software	$60,000.00	$33,334.00
	Software Total		$60,000.00	$33,334.00
Training				
	TR-2018-004	Project 1 - PSAP Training	$20,000.00	$120,000.00
	Training Total		$20,000.00	$120,000.00
Operation				
	OP-2018-003	Project 2 - Operate during Turn Down	$150,000.00	$75,000.00
	Operation Total		$150,000.00	$75,000.00
Consulting Services				
	CS-2018-002	Project 2 - Procurement support	$50,000.00	$150,000.00
	Consulting Services Total		$50,000.00	$150,000.00
Hosted NG 911 services				
	HT-2018-001	Project 2-NGCS	$320,000.00	$.00
	Hosted NG 911 services Total		$320,000.00	$.00
	Next Generation 911 Total		$800,000.00	$533,334.00

NHTSA Total	$800,000.00	$533,334.00
Total	$800,000.00	$533,334.00

8/22/2018

U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Tennessee

911 Voucher Transaction Report

TN-2018-911V-2

Posted: 08/22/2018

Report Date: 08/22/2018

Entry Number	Line	Project	Description	Federal Funds	State Funds
NHTSA					
Next Generation 911					
Hardware					
	1	HW-2018-006	[Project Comments: Project 1 - Computers, routers, and Switches] Test 2	$.00	$75,000.00
Hardware Total				$.00	$75,000.00
Software					
	2	SW-2018-005	[Project Comments: Project 1 - Mapping Software] Test 2	$60,000.00	$.00
Software Total				$60,000.00	$.00
Training					
	3	TR-2018-004	[Project Comments: Project 1 - PSAP Training] Test 2	$20,000.00	$99,999.99
Training Total				$20,000.00	$99,999.99
Next Generation 911 Total				$80,000.00	$174,999.99
Next Generation 911 2018 Total				$80,000.00	$174,999.99
NHTSA Total				$80,000.00	$174,999.99
Total				$80,000.00	$174,999.99

8/22/2018

U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Tennessee

911 Federal Reimbursement Voucher Report

TN-2018-911V-2

Posted: 08/22/2018

Page: 1

Report Date: 08/22/2018

Not Posted In DELPHI

Entry Number	Project	Description	Federal Funds Planned	State Funds Planned	State/Federal Cost to Date	Federal Funds Expended	Fed Previous Amount Claimed	Fed Funds Claimed this Period
NHTSA								
Next Generation 911								
Administrative								
	AD-2018-007		$40,000.00	$80,000.00	$120,000.00	$40,000.00	$40,000.00	$.00
	Administrative Total		$40,000.00	$80,000.00	$120,000.00	$40,000.00	$40,000.00	$.00
Hardware								
	HW-2018-006	[Project Comments: Project 1 - Computers, routers, and Switches] Test 2	$160,000.00	$75,000.00	$235,000.00	$160,000.00	$160,000.00	$.00
	Hardware Total		$160,000.00	$75,000.00	$235,000.00	$160,000.00	$160,000.00	$.00
Software								
	SW-2018-005	[Project Comments: Project 1 - Mapping Software] Test 2	$60,000.00	$33,334.00	$93,334.00	$60,000.00	$.00	$60,000.00
	Software Total		$60,000.00	$33,334.00	$93,334.00	$60,000.00	$.00	$60,000.00
Training								
	TR-2018-004	[Project Comments: Project 1 - PSAP Training] Test 2	$20,000.00	$120,000.00	$119,999.99	$20,000.00	$.00	$20,000.00
	Training Total		$20,000.00	$120,000.00	$119,999.99	$20,000.00	$.00	$20,000.00
Operation								
	OP-2018-003		$150,000.00	$75,000.00	$.00	$.00	$.00	$.00
	Operation Total		$150,000.00	$75,000.00	$.00	$.00	$.00	$.00
Consulting Services								
	CS-2018-002		$50,000.00	$150,000.00	$.00	$.00	$.00	$.00
	Consulting Services Total		$50,000.00	$150,000.00	$.00	$.00	$.00	$.00
Hosted NG 911 services								
	HT-2018-001		$320,000.00	$.00	$.00	$.00	$.00	$.00
	Hosted NG 911 services Total		$320,000.00	$.00	$.00	$.00	$.00	$.00
	Next Generation 911 Total		$800,000.00	$533,334.00	$568,333.99	$280,000.00	$200,000.00	$80,000.00
	NHTSA Total		$800,000.00	$533,334.00	$568,333.99	$280,000.00	$200,000.00	$80,000.00

8/22/2018

Total	$800,000.00	$533,334.00	$568,333.99	$280,000.00	$200,000.00	$80,000.00

8/22/2018

U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Tennessee

911 Status of Funding and Expenditures Report

TN-2018-911V-2

Posted: 08/22/2018

Reimbursement Info: Total: $520,000.00

Page: 1

Report Date: 08/22/2018

Claim Period: 08/22/2018 - 08/22/2018

Not Posted In DELPHI

Entry Number	Project	Description	Federal Grant Award	Planned Funds	Expended Funds	Unplanned Balance	Unexpended Balance
NHTSA							
Next Generation 911							
Administrative							
	AD-2018-007			$40,000.00	$40,000.00		$.00
	Administrative Total			$40,000.00	$40,000.00		$.00
Hardware							
	HW-2018-006	[Project Comments: Project 1 - Computers, routers, and Switches] Test 2		$160,000.00	$160,000.00		$.00
	Hardware Total			$160,000.00	$160,000.00		$.00
Software							
	SW-2018-005	[Project Comments: Project 1 - Mapping Software] Test 2		$60,000.00	$60,000.00		$.00
	Software Total			$60,000.00	$60,000.00		$.00
Training							
	TR-2018-004	[Project Comments: Project 1 - PSAP Training] Test 2		$20,000.00	$20,000.00		$.00
	Training Total			$20,000.00	$20,000.00		$.00
Operation							
	OP-2018-003			$150,000.00	$.00		$150,000.00
	Operation Total			$150,000.00	$.00		$150,000.00
Consulting Services							
	CS-2018-002			$50,000.00	$.00		$50,000.00
	Consulting Services Total			$50,000.00	$.00		$50,000.00
Hosted NG 911 services							
	HT-2018-001			$320,000.00	$.00		$320,000.00
	Hosted NG 911 services Total			$320,000.00	$.00		$320,000.00
	Next Generation 911 Total		$800,000.00	$800,000.00	$280,000.00	$.00	$520,000.00

8/22/2018

NHTSA Total	$800,000.00	$800,000.00	$280,000.00	$.00	$520,000.00
Total	$800,000.00	$800,000.00	$280,000.00	$.00	$520,000.00

I CERTIFY, that in accordance with the laws of the state and under the terms of the approved program(s) that actual costs claimed have been incurred and have not previously been presented for payment.

(APPROVAL AND PAYMENT ARE SUBJECT TO ADJUSTMENT, YEAR-END AUDIT OR OTHER APPROPRIATE REVIEW)

State Official:

8/22/2018

U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Tennessee

911 Spending Plan Match Review Report

TN-2018-911P-1

Posted: 08/22/2018

Report Date: 08/22/2018

Entry Number	Project	Description	Federal Funds	State Funds	State Match Percentage
NHTSA					
Next Generation 911					
Administrative					
	AD-2018-007	General Administration	$40,000.00	$80,000.00	67%
	Administrative Total		$40,000.00	$80,000.00	67%
Hardware					
	HW-2018-006	Project 1 - Computers, routers, and Switches	$160,000.00	$75,000.00	32%
	Hardware Total		$160,000.00	$75,000.00	32%
Software					
	SW-2018-005	Project 1 - Mapping Software	$60,000.00	$33,334.00	36%
	Software Total		$60,000.00	$33,334.00	36%
Training					
	TR-2018-004	Project 1 - PSAP Training	$20,000.00	$120,000.00	86%
	Training Total		$20,000.00	$120,000.00	86%
Operation					
	OP-2018-003	Project 2 - Operate during Turn Down	$150,000.00	$75,000.00	33%
	Operation Total		$150,000.00	$75,000.00	33%
Consulting Services					
	CS-2018-002	Project 2 - Procurement support	$50,000.00	$150,000.00	75%
	Consulting Services Total		$50,000.00	$150,000.00	75%
Hosted NG 911 services					
	HT-2018-001	Project 2-NGCS	$320,000.00	$.00	0%
	Hosted NG 911 services Total		$320,000.00	$.00	0%
	Next Generation 911 Total		$800,000.00	$533,334.00	40%
	NHTSA Total		$800,000.00	$533,334.00	40%

Total	$800,000.00	$533,334.00	40%

8/22/2018

U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Tennessee

911 Voucher Match Review Report

TN-2018-911V-2

Posted: 08/22/2018

Report Date: 08/22/2018

Entry Number	Project	Description	Federal Funds	State Funds	State Match Percentage
NHTSA					
Next Generation 911					
Hardware					
	HW-2018-006	[Project Comments: Project 1 - Computers, routers, and Switches] Test 2	$.00	$75,000.00	100%
	Hardware Total		$.00	$75,000.00	100%
Software					
	SW-2018-005	[Project Comments: Project 1 - Mapping Software] Test 2	$60,000.00	$.00	0%
	Software Total		$60,000.00	$.00	0%
Training					
	TR-2018-004	[Project Comments: Project 1 - PSAP Training] Test 2	$20,000.00	$99,999.99	83%
	Training Total		$20,000.00	$99,999.99	83%
Next Generation 911 Total			$80,000.00	$174,999.99	69%
NHTSA Total			$80,000.00	$174,999.99	69%
Total			$80,000.00	$174,999.99	69%

8/22/2018

U.S. Department of Transportation National Highway Traffic Safety Administration

Organization: Tennessee

911 Funding vs Expenditures Report

TN-2018-911V-2

Posted: 08/22/2018

Report Date: 08/22/2018

Entry Number	Project	Description	Planned Federal Amount	Percent Planned	Sum of Expenditures	Percent Expended	Unexpended Balance	Est. Months to Liquidate
NHTSA								
Next Generation 911								
Administrative								
	AD-2018-007		$40,000.00	5%	$40,000.00	14%	$.00	0
	Administrative Total		$40,000.00	5%	$40,000.00	14%	$.00	0
Hardware								
	HW-2018-006	[Project Comments: Project 1 - Computers, routers, and Switches] Test 2	$160,000.00	20%	$160,000.00	57%	$.00	0
	Hardware Total		$160,000.00	20%	$160,000.00	57%	$.00	0
Software								
	SW-2018-005	[Project Comments: Project 1 - Mapping Software] Test 2	$60,000.00	8%	$60,000.00	21%	$.00	0
	Software Total		$60,000.00	8%	$60,000.00	21%	$.00	0
Training								
	TR-2018-004	[Project Comments: Project 1 - PSAP Training] Test 2	$20,000.00	3%	$20,000.00	7%	$.00	0
	Training Total		$20,000.00	3%	$20,000.00	7%	$.00	0
Operation								
	OP-2018-003		$150,000.00	19%	$.00	0%	$150,000.00	*
	Operation Total		$150,000.00	19%	$.00	0%	$150,000.00	*
Consulting Services								
	CS-2018-002		$50,000.00	6%	$.00	0%	$50,000.00	*
	Consulting Services Total		$50,000.00	6%	$.00	0%	$50,000.00	*
Hosted NG 911 services								
	HT-2018-001		$320,000.00	40%	$.00	0%	$320,000.00	*
	Hosted NG 911 services Total		$320,000.00	40%	$.00	0%	$320,000.00	*
	Next Generation 911 Total		$800,000.00	100%	$280,000.00	100%	$520,000.00	*
	NHTSA Total		$800,000.00	100%	$280,000.00	100%	$520,000.00	*

Total	$800,000.00	100%	$280,000.00	100%	$520,000.00	*

APPENDIX C—WEBINAR SLIDES

The following pages contain the webinar training slides.